

# Distributed Morphological Mechanisms of Smith Island *Weren't* Leveling

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## 1 Introduction

A growing body of research attempts to bridge the gap between Minimalist theories of syntax (Chomsky 1995, 2000, *et seq.*, and related work) and the empirical study of 'Labovian' variation and change in progress (Adger and Smith 2005, Adger to appear). In this paper, I discuss how variation might be addressed within the theoretical framework of Distributed Morphology (DM) (Halle and Marantz 1993, Embick and Noyer to appear, and related work). The paper examines the case of *weren't* leveling, a pattern of morphosyntactic variation and change currently in progress on Smith Island, Maryland, and provides an empirical argument that significant mechanisms of variation should be located in the inventory and feature structure of DM's Vocabulary and the interaction between Vocabulary Items and operations in the morphological component.

## 2 Labovian Variation and Change in Progress

'Labovian' variation (a.k.a. 'inherent variation,' 'variability,' 'sociolinguistic variation') is when "speakers use different forms to express the same meaning" (Labov 1995: 115). In other words, this kind of variation is "the non-deterministic choice of form" (Adger to appear), as opposed to allomorphy. A working definition follows.

- (1) Labovian variation
  - a. Individuals use variant morphosyntactic forms;
  - b. The variant forms appear in the same morphosyntactic environment (variants are not allomorphs in complementary distribution);
  - c. The variant forms do not express different lexical or truth-conditional semantics, nor different morphosyntactic functions.

Differences in linguistic forms observed between individuals or speech

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communities (i.e., cross-linguistic/dialectal variation) are to be explained by a theory of principles and parameters (e.g. Chomsky and Lasnik 1993, Kayne 1996, and much other work). It has been claimed that Labovian variation in morphosyntax is the result of ‘multiple parameter settings’ (e.g. Henry 1995, 2002), and that ‘competition’ between grammars, perhaps as defined by multiple parameter settings, yields morphosyntactic change over time (e.g. Kroch 1989, 1994).

Labovian variation must be distinguished from the familiar phenomenon of allomorphy, where variant forms appear in complementary distribution as determined by aspects of their morphosyntactic or morphophonological environment. The appearance of Labovian variants can be probabilistically influenced, but is crucially not determined, by aspects of their morphosyntactic or morphophonological environment.

Labovian variation usually, perhaps always, involves the sociolinguistic choice of form. Variants “convey exactly the same grammatical meaning,” but “very different social meanings” (Chambers 2002: 3-4). The empirical, sociolinguistic study of Labovian variation yielded the apparent-time method for observing language change in progress (Bailey et al. 1992, Labov 1994), “one of the cornerstones of research in language variation and change” (Bailey 2002: 312). For reasons of space, this paper concentrates on the mechanisms of Labovian variation, and does not consider the role played by these mechanisms in morphosyntactic change as observed in apparent time.

### **3 Smith Island *Weren’t* Leveling: A Change in Progress**

Smith Island, MD has a small, homogeneous population of 364 according to the U.S. Census (2000). The community has been geographically and socially isolated throughout its history, and the declining seafood-based economy and ongoing land erosion are causing population attrition. Dialect death by “concentration” is a pattern of variation and change in progress first documented on Smith Island (Schilling-Estes 1997, Schilling-Estes and Wolfram 1999, Schilling-Estes 2000, Parrott 2002, Wolfram and Schilling-Estes 2003, Schilling-Estes and Wolfram 2003, Trester 2003, Mittelstaedt to appear), whereby usage of certain phonological and morphosyntactic variants (assumed to be socially characteristic) increases in apparent time. As a result, “linguistic distinctiveness is heightened among a reduced number of speakers” (Schilling-Estes and Wolfram 1999) for social reasons, here to express solidarity in a moribund community.

#### **3.1 *Was* and *Were* Leveling**

The descriptive term ‘leveling’ (a.k.a. ‘analogical leveling’ or ‘regularization’) refers to a pattern of variation whereby one morphological form--the ‘leveled’ form--appears variably in the morphosyntactic environments of other forms. If usage of the leveled form reaches 100%, the result is categorical (i.e., non-variable) syncretism. However, actual usage of leveled variant forms need not increase: leveling variation may remain stable over apparent time, decline over time, or even reverse trajectory over time (e.g. Hay and Schreier 2004).

Leveling variation in the forms of past-tense *be* (*was/were*) is common in diverse varieties of English. *Was* leveling (variable usage of the form *was* with 2s and plural subjects) is particularly common, and has been documented in African-American English among many other varieties. As the following examples show, the leveled form *was* also appears with contracted negation.

(2) *Was* leveling, attested (Tagliamonte and Smith 2000)

- a. And then you was away onto a fishing station.
- b. And we was the only colour family.
- c. They was picking up wood and thing.
- d. My feet was sticking up and she pulled me feet up.

(3) *Was* leveling with negation, attested (*ibid*)

- a. You wasn't gonna do it or anything.
- b. They wasn't prejudiced up there then.

*Were* leveling (variable usage of the form *were* with 1s and 3s subjects) has also been documented, but is apparently much less common than *was* leveling in English varieties.

(4) *Were* leveling, attested (Schilling-Estes and Wolfram 1994)

- a. I were afraid I was going to miss something.
- b. The neighborhood she was in were just like the old Germans.

### 3.2 *Weren't* Leveling on Smith Island, MD

Leveling to *was* is attested on Smith Island (examples from Mittelstaedt in progress and personal communication, with speaker sex and year of birth).

(5) Smith Island *was* leveling, attested

- a. The roots was going just like this. (F, 1926)
- b. The boats was a lot slower. (M, 1951)

- (6) Smith Island *was* leveling with negation, attested<sup>1</sup>  
 There just wasn't enough oysters. (M, 1930)

Leveled *were* is completely unattested on Smith Island. However, leveling to the form *weren't* is increasing rapidly in apparent time on Smith Island, reaching nearly 100% usage for generation groups III and IV (see figures below).

- (7) a. \* I were scared. (unattested)  
 b. \* She were not scared. (unattested)
- (8) Smith Island *weren't* leveling, attested  
 a. I weren't able to answer. (M, 1930)  
 b. She weren't that close to you. (F, 1926)  
 b. The man weren't there every day. (M, 1930)

Generation Group	# <i>was</i> / #leveling environs % <i>was</i>	# <i>wasn't</i> / #leveling environs % <i>wasn't</i>	# <i>were</i> / #leveling environs % <i>were</i>	# <i>weren't</i> / #leveling environs % <i>weren't</i>
Generation I b. 1899-1932 (7 persons)	34/99 34.3%	5/6 83.3%	0/418 0.0%	6/27 22.2%
Generation II b. 1942-1961 (7 persons)	17/116 14.7%	2/9 22.2%	0/462 0.0%	17/36 47.2%
Generation III b. 1965-1971 (9 persons)	11/49 22.4%	0/2 0.0	0/214 0.0%	12/12 100%
Generation IV b. 1975-1987 (6 persons)	6/51 11.8%	0/2 0.0%	0/254 0.0%	27/28 96.4%
<b>Totals (29 persons)</b>	<b>68/315 21.5%</b>	<b>7/19 36.8%</b>	<b>0/1348 0%</b>	<b>62/103 60.1%</b>

Table 1. Past-tense *be* leveling on Smith Island, MD, in apparent time (Schilling-Estes 2000, adapted from Wolfram and Schilling-Estes 2003)

This pattern of variation (*weren't* leveling without *were* leveling, with or without *was* leveling) has been documented in various dialects of the Mid-Atlantic U.S. (Schilling-Estes and Wolfram 1994, 2003, Wolfram and Schil-

<sup>1</sup>This expletive sentence is the only token (1/4) of leveled *wasn't* in Mittelstaedt's sample.

ling-Estes 2003) and also in the English Fens (Britain 2002).

#### 4 Adger and Smith's Minimalist Lexical Analysis

Adger and Smith (2005, see also Adger to appear) argue for a Minimalist approach to Labovian variation. On their analysis, variants are syntactic terminals with the same semantically interpretable syntactic features, but different uninterpretable features, and thus with correspondingly different 'spell-out morphemes.' This captures the "multiple form/single meaning notion of a linguistic variable" (Adger and Smith 2005: 173). Although their analysis must also invoke a post-syntactic morphological component, it does not make use of any specific mechanisms of DM theory.

##### 4.1 A Minimalist Lexical Analysis of (Buckie) *Was* Leveling

Adger and Smith analyze *was* leveling in the village of Buckie, Scotland, where there is a "relatively rare...variable/categorical split" (2005: 167) in the variable's morphosyntactic environment, such that leveled *was* is unattested with 3p pronouns. For reasons of space, I cannot review Adger and Smith's analysis of the Buckie pattern, but only their analysis of the more common *was* leveling pattern as outlined above.

I follow Adger and Smith in assuming that copular *be* is a verbal head, and that auxiliary *be* is the head of an AuxP above VP; both kinds of *be* raise by head movement to adjoin with T. I also follow them in setting aside questions about the expanded internal structures of IP/TP and VP/vP. Because they (and others) report that the "copula vs. auxiliary status of the verb" was not statistically "significant for the use of [leveled] was" (2005: 174, fn. 6), I also disregard the distinction. Following Harley and Ritter (2002), a Person feature can have a positive (+) value of 1 or 2; third person corresponds to a negative (-) value for Person.<sup>2</sup>

(9) Lexical item T, unchecked and unvalued  
T[tense:past, ucase:nom, unum:, upers:]

(10) [*be* T], checked and valued, with morpheme spell outs  
I ... [*be* T[tense:past, ~~u~~case:nom, ~~u~~num:sing, ~~u~~pers:1]]  
→ spells out as *was*  
You ... [*be* T[tense:past, ~~u~~case:nom, ~~u~~num:sing, ~~u~~pers:2]]  
→ spells out as *were*

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<sup>2</sup>See Adger (to appear) or Nevins (2006) for different featural analyses of person.

- She* ... [*be* T[tense:past, #*case*:nom, #*num*:sing, #*pers*: -]]  
 → spells out as *was*  
*We* ... [*be* T[tense:past, #*case*:nom, #*num*:pl, #*pers*: 1]]  
 → spells out as *were*  
*You* ... [*be* T[tense:past, #*case*:nom, #*num*:pl, #*pers*: 2]]  
 → spells out as *were*  
*They* ... [*be* T[tense:past, #*case*:nom, #*num*:pl, #*pers*: -]]  
 → spells out as *were*

On Adger and Smith's approach, "...variation will arise if there is another lexical item [for T, JKP] which can combine with the same pronominals to give the same output of interpretable features, but which has a different featural content in terms of uninterpretable features" (2005: 166). This lexical item T2 has no uninterpretable number feature. Additionally, Adger and Smith need to invoke morphology: "...the featural content of [*be* T2] differs from that of [*be* T], and the morphology can be sensitive to this, spelling out the former as *was*" (2005: 166).

- (11) Lexical item T2, unchecked and unvalued  
 T2[tense:past, #*case*:nom, #*pers*:]

- (12) [*be* T2[tense:past, #*case*:nom, #*pers*: ±]]  
 → spells out as *was*

#### 4.2 The Problem of *Weren't* Leveling

We could capture *were* leveling in this system by assuming a lexical item T3 that has no uninterpretable Person features. T3 will check features with any subject, and will spell out as *were* with any specification of number. However, this kind of analysis predicts concurrent *were* leveling, contrary to fact.

- (13) T3[tense:past, #*case*:nom, #*num*:]

- (14) [*be* T3[tense:past, #*case*:nom, #*num*: ±]]  
 → spells out as *were*

We could try adding an uninterpretable negation feature to form the lexical item T3-NEG, and let it spell out as *were*. But if uninterpretable features must be checked all at once (as in Chomsky 2000), then T3-NEG would seem uncheckable; if not (as in Castillo, Drury and Grohmann 1999), T3-NEG might predict *were* leveling with *not*, contrary to fact.

(15) T3-NEG[tense:past, *u*case:nom, *u*num:, *u*neg:+]

(16) [*be* T3-NEG[tense:past, ~~*u*~~case:nom, ~~*u*~~num:±, ~~*u*~~neg:+]]  
→ spells out as *were*

We could posit that T3 is spelled out as *were* only when adjoined to negation, as specified in the spell-out rule.

(17) T3[tense:past, *u*case:nom, *u*num:]

(18) [[*be* T3[tense:past, ~~*u*~~case:nom, ~~*u*~~num:±]] neg:+]  
→ spells out as *weren't*

But this move seems to undermine Adger and Smith's approach: why do we need a distinct pre-syntactic lexical item T3 if will only spell out in the morphology after being adjoined with negation in the syntax? That is, why not just have a distinct morphological spell out for T when it is adjoined to negation? This suggests a DM treatment, where mechanisms of Labovian variation are in the post-syntactic morphological component.

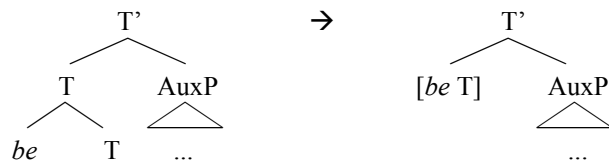
## 5 Distributed Morphological Mechanisms

In this section, I present a DM mechanistic analysis of Smith Island *weren't* leveling (following Mittelstaedt and Parrott 2002, see also Parrott 2003). I adopt the same Minimalist syntax as Adger and Smith, augmented by DM (Halle and Marantz 1993, mostly following Embick and Noyer to appear).

### 5.1 Past-tense *be* suppletion and allomorphy in DM

In order to account for the suppletive forms of past-tense *be*, we can assume straightforwardly that *be* and T undergo Fusion in the morphological component. In this case, Fusion is fed by head movement in the syntax (the operation can also be fed by morphological Merger).

(19) Morphological Fusion of *be* and T



We can capture the allomorphy of past-tense *be* by postulating that the Vocabulary Items below compete for Insertion into the target node created by Fusion of *be* and T. The most specified Vocabulary Item Inserts *were* when the Person and Number features of the target node are valued 2s; an underspecified Vocabulary Item inserts *were* when the Number feature of the target node is valued Plural; and an elsewhere Vocabulary Item inserts *was* by default.<sup>3</sup>

(20) Postulated Vocabulary for past-tense *be*

[*be*, tense:past, unum:sing, upers:2] ⇔ /wəɪ/

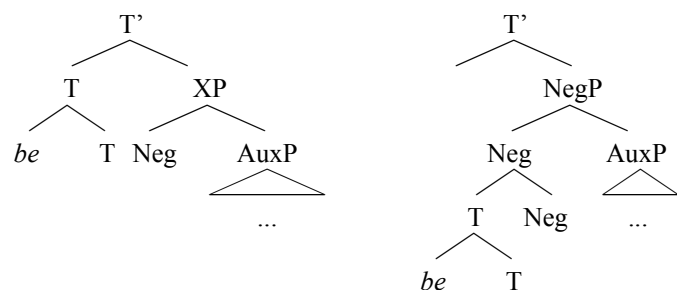
[*be*, tense:past, unum:pl] ⇔ /wəɪ/

[*be*, tense:past] (*elsewhere*) ⇔ /wʌz/

## 5.2 Past-tense *be* and negation in DM

I assume that a difference between contracted negation *-n't* and full negation *not* is that the former is head-adjoined to [*be* T] at some stage in the derivation, either by syntactic head movement or by post-syntactic morphological Merger (as illustrated below).

(21) Neg, *be*, and T



## 5.3 *Weren't* as negative suppletion

Schilling-Estes and Wolfram (1994) suggest that *weren't* leveling results

<sup>3</sup>Nevins (personal communication) points out that *was/were* suppletion could also be captured by positing an Impoverishment rule that deletes plural in the context of second person. Then we would need only two Vocabulary Items:

- (i) [be, tense:past, unum:sing] ⇔ /wʌz/  
 (elsewhere) ⇔ /wəɪ/

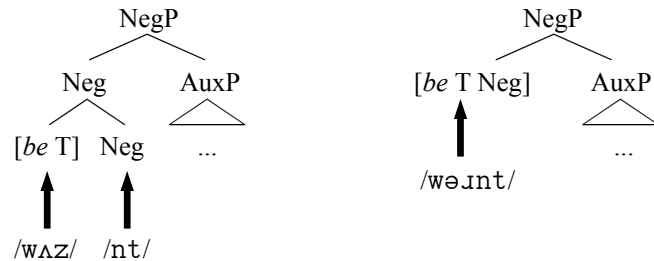
from a “remorphologization” of negation that yields “suppletive-like negators that function as unanalyzable units,” analogous to the form *ain't*. Mittelstaedt and Parrott (2002, see also Parrott 2003) implement this suggestion in DM, proposing that *weren't* leveling arises from a Vocabulary Item for past-tense *be* that is unspecified for Person and Number features, but includes a Negation feature.

(22) Postulated Vocabulary Item for *weren't*

[*be*, tense:past, neg:+] ⇔ /wə.ɪnt/

Insertion of this Vocabulary Item requires morphological Fusion of the Neg terminal with the [*be* T] terminal. *Be* and T must be Fused for Insertion of suppletive *was/were*; head-adjunction/Merger of Neg for contracted *-n't* feeds Fusion. This explains why *weren't* leveling only occurs with contracted negation and never with full negation *not*.

(23) Morphological Fusion of *be*, T, and Neg



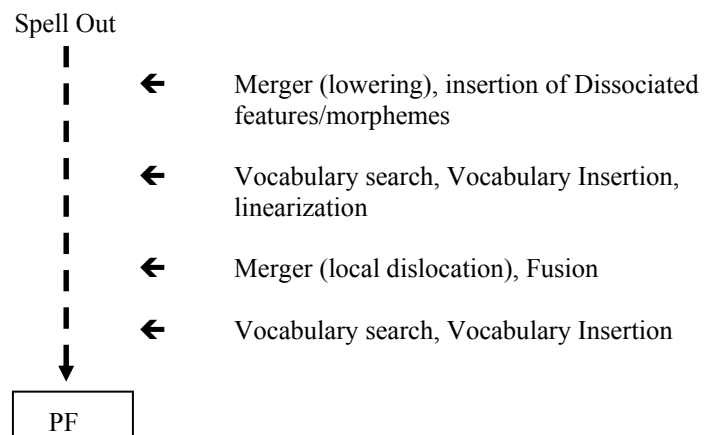
This Vocabulary Item should not compete for Insertion, since its morphosyntactic features are a subset of any target terminal only when Fusion has applied to [*be* T] and Neg--and then *weren't* will be the only Insertable Vocabulary Item. Non-competition of Vocabulary is indicated below with a dashed and dotted line.

(24) Non-competition of *weren't* and *was*

[*be*, tense:past, neg:+] ⇔ /wə.ɪnt/  
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[*be*, tense:past, unum:sing, upers:2] ⇔ /wə.ɪ/  
[*be*, tense:past, unum:pl] ⇔ /wə.ɪ/  
[*be*, tense:past] (*elsewhere*) ⇔ /wʌz/

On this analysis, Fusion must apply late in the morphological component, after the first search and Insertion of Vocabulary. Kandybowicz (2006) argues that late Fusion may apply in order to salvage an otherwise phonologically ill-formed structure. I submit that late Fusion may also apply in order to allow the Insertion of suppletive Vocabulary Items. What follows is a hypothetical ordering of morphological operations based on Embick and Noyer (2001) and Kandybowicz (2006).

(25) Ordering of morphological operations



#### 5.4 Extension to *ain't* and other auxiliaries

This analysis can be extended straightforwardly to *ain't* and other cases of auxiliary leveling with contracted negation.

For example, variationist quantitative analysis shows that present-tense auxiliaries *be*, *have*, and *do* are leveling with contracted negation, taking part in the socially-motivated process of dialect death by concentration on Smith Island (Mittelstaedt to appear, in progress). This fact suggests that there is a single underlying mechanism in all of these cases. The following Vocabulary would account for the Smith Island patterns of auxiliary leveling.

(26) Postulated Vocabulary for Smith Island *ain't*

[*be*, tense:pres, neg:+] ↔ /eɪnt/  
 [*have*, tense:pres, neg:+] ↔ /eɪnt/

(27) Postulated Vocabulary Item for Smith Island *don't*

[*do*, tense:pres, neg:+] ⇔ /dɒnt/

Moreover, both present- and past-tense *do* level variably to *ain't* in African-American English (AAE) (e.g. Martin and Wolfram 1998).

(28) This is my first summer [with] no trouble. I ain't go to jail for speeding. Didn't go to jail for DUI. I didn't break my foot. I didn't break my other foot. I'm one step ahead of the game already.<sup>4</sup>

The following Vocabulary would account for these patterns of *ain't* leveling in AAE.

(29) Postulated Vocabulary for AAE *ain't*

[*be*, tense:pres, neg:+] ⇔ /eɪnt/

[*have*, tense:pres, neg:+] ⇔ /eɪnt/

[*do*, neg:+] ⇔ /eɪnt/

## 6 Summary of Conclusions

I have claimed that significant mechanisms of Labovian variation are located in DM's Vocabulary Items and their interactions with operations in the morphological component. These are in addition to mechanisms of variation in the pre-syntactic terminals and their feature-checking interactions in the syntax, as Adger and Smith propose. On this DM approach, leveled *weren't* is analyzed as a suppletive Vocabulary Item that can only be inserted after Fusion of [*be* T] and Neg in the morphological component. *Weren't* leveling takes place without concurrent *were* leveling because the *weren't* Vocabulary Item is unspecified for person and number features. In other words, *weren't* leveling is a "remorphologization" of negation exponence, not an agreement leveling process. *Weren't* leveling only occurs with contracted negation *-n't* because the *weren't* Vocabulary Item contains a Negation feature in its substantive morphosyntactic features. Head adjunction (whether by head movement or Merger) of *be*, T, and Neg for contracted negation *-n't* feeds Fusion of [*be* T] and Neg for Insertion of *weren't*. This analysis provides support for late Fusion, an operation which can apply in order to allow the Insertion of suppletive Vocabulary Items. Because its morphosyntactic features are a subset of a target terminal only if Fusion applies, the Vocabulary Item for *weren't* does not compete for Insertion, and therefore can be a

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<sup>4</sup>Attested, Kwame Brown quoted in the *Washington Post*, collected by the author 10/1/2005.

sociolinguistic “choice” for individuals.

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